

SELECTED FCM FINANCIAL DATA AS OF

December 31, 2014

FROM REPORTS FILED BY

February 3, 2015

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U
		Futures Commission Merchant / Retail Foreign Exchange Dealer	Registered As	DSRO	As of Date	Adjusted Net Capital	Net Capital Requirement	Excess Net Capital	Customers' Assets in Seg	Customers' Seg Required 4d(a)(2)	Excess/Deficient Funds in Seg	Target Residual Interest in Seg	Funds in Separate Section 30.7 Accounts	Customer Amount Pt. 30 Required	Excess/Deficient Funds in Separate Section 30.7 Accounts	Target Residual Interest in Section 30.7	Funds in Separate Cleared Swap Segregation	Customer Amount Cleared Swap Seg Required	Excess/Deficient Funds in Cleared Swap Seg Accounts	Target Residual Interest in Cleared Swap Seg	Total Amount of Retail Forex Obligation
1			(a)	(b)		(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	
4	1	ABN AMRO CLEARING CHICAGO LLC	FCM BD	CBOT	12/31/2014	464,303,059	179,303,279	284,999,780	3,189,862,142	2,855,826,618	334,035,524	171,020,110	194,577,877	115,475,478	79,102,399	17,321,322	0	0	0	0	0
5	2	ADM INVESTOR SERVICES INC	FCM	CBOT	12/31/2014	286,924,610	168,567,943	118,356,667	3,955,750,599	3,678,582,683	277,167,916	120,000,000	249,675,657	191,083,614	58,592,043	20,000,000	12,668,214	2,697,615	9,970,599	8,000,000	0
6	3	ADVANTAGE FUTURES LLC	FCM	CME	12/31/2014	26,502,421	13,133,817	13,368,604	500,318,148	485,190,377	15,127,771	8,500,000	36,681,768	34,703,464	1,978,304	1,000,000	0	0	0	0	0
7	4	AMP GLOBAL CLEARING LLC	FCM	NFA	12/31/2014	2,995,498	1,500,000	1,495,498	55,171,742	53,488,972	1,682,770	900,000	1,274,429	851,810	422,619	150,000	0	0	0	0	0
8	5	BARCLAYS CAPITAL INC	FCM BD	NYME	12/31/2014	7,622,125,144	1,358,127,493	6,263,997,651	6,229,463,614	5,657,496,768	571,966,846	311,162,322	2,714,714,799	2,516,455,422	198,259,377	126,326,062	6,890,048,637	6,037,686,751	852,361,886	216,752,954	0
9	6	BGC FINANCIAL LP	FCM BD	NFA	12/31/2014	50,004,970	1,746,315	48,258,655	0	0	0	0	0	0	0	0	0	0	0	0	0
10	7	BNP PARIBAS PRIME BROKERAGE INC	FCM BD	NYME	12/31/2014	3,176,822,608	232,959,094	2,943,863,514	3,238,937,081	2,894,379,974	344,557,107	289,437,997	17,453,848	3,439,616	14,014,232	10,000,000	10,384,530	2,285,503	8,099,027	5,000,000	0
11	8	BNP PARIBAS SECURITIES CORP	FCM BD	CBOT	12/31/2014	1,662,821,157	271,676,171	1,391,144,986	1,063,510,392	839,563,262	627,904,127	167,912,653	32,999,840	17,269,159	15,730,681	1,726,916	816,255,593	678,853,374	137,402,219	67,885,337	0
12	9	BOCI COMMODITIES & FUTURES USA LLC	FCM	CME	12/31/2014	13,133,864	1,000,000	12,133,864	203	0	203	1	184	0	184	1	6	0	6	1	0
13	10	CANTOR FITZGERALD & CO	FCM BD	CBOT	12/31/2014	235,023,007	3,379,999	231,643,008	4,969,921	0	4,969,921	3,000,000	0	0	0	0	0	0	0	0	0
14	11	CHS HEDGING LLC	FCM	CBOT	12/31/2014	28,408,486	11,202,641	17,205,845	188,504,412	162,046,708	26,457,704	13,100,000	1,808,457	393,865	1,414,592	545,000	0	0	0	0	0
15	12	CITIGROUP GLOBAL MARKETS INC	FCM BD SD	CBOT/NFA	12/31/2014	5,521,412,067	1,145,584,487	4,375,827,580	6,475,933,961	6,165,635,653	310,298,308	282,000,000	1,028,459,681	848,228,602	180,231,079	150,000,000	5,650,251,028	5,402,092,399	248,158,629	207,475,000	0
16	13	CREDIT SUISSE SECURITIES (USA) LLC	FCM BD	CBOT	12/31/2014	8,817,358,453	2,247,509,432	6,569,849,021	8,298,658,272	7,670,953,682	627,704,590	383,547,684	3,184,069,796	2,961,910,762	222,159,034	148,095,538	11,816,518,654	9,830,693,084	1,985,825,570	491,534,654	0
17	14	CUNNINGHAM COMMODITIES LLC	FCM	CBOT	12/31/2014	5,187,397	1,433,442	3,753,955	73,072,781	69,127,914	3,944,867	3,050,000	372,013	104,624	267,389	100,000	0	0	0	0	0
18	15	DAIWA CAPITAL MARKETS AMERICA INC	FCM BD	CME	12/31/2014	542,659,749	13,114,947	529,544,802	5,455,837	0	5,455,837	1	568,347	0	568,347	1	0	0	0	0	0
19	16	DEUTSCHE BANK SECURITIES INC	FCM BD	CBOT	12/31/2014	10,761,598,573	745,873,815	10,015,724,758	10,313,157,689	9,773,050,189	540,107,500	500,000,000	1,205,184,836	971,828,860	233,355,976	200,000,000	2,164,550,040	1,875,274,411	289,275,629	250,000,000	0
20	17	DORMAN TRADING LLC	FCM	CME	12/31/2014	9,131,754	2,013,126	7,118,628	171,238,373	167,752,090	3,486,283	2,000,000	3,305,545	2,684,924	620,621	200,000	0	0	0	0	0
21	18	E D & F MAN CAPITAL MARKETS INC	FCM BD	CME	12/31/2014	74,258,934	18,053,662	56,205,272	589,618,519	568,113,350	21,505,161	9,000,000	36,454,087	34,779,764	1,674,323	1,000,000	0	0	0	0	0
22	19	E TRADE CLEARING LLC	FCM BD	NFA	12/31/2014	795,141,524	169,877,575	625,263,949	53,433,837	40,062,441	13,371,396	10,000,000	1,458,842	449,777	1,009,065	1,000,000	0	0	0	0	0
23	20	EFL FUTURES LIMITED	FCM	CME	12/31/2014	35,692,524	15,187,780	20,504,744	214,026,063	201,086,498	12,939,565	5,000,000	0	0	0	0	0	0	0	0	0
24	21	FCSTONE LLC	FCM	CME	12/31/2014	121,295,521	67,000,511	54,295,010	1,824,524,874	1,783,893,291	40,631,583	26,000,000	83,618,272	64,762,662	18,855,610	15,000,000	0	0	0	0	0
25	22	FOREX CAPITAL MARKETS LLC	FCMRFD SD	NFA	12/31/2014	69,465,894	29,982,235	39,483,659	0	0	0	0	0	0	0	0	0	0	0	209,644,703	0
26	23	GAIN CAPITAL GROUP LLC	FCMRFD	NFA	12/31/2014	41,954,396	24,738,861	17,215,535	206,799,088	193,743,419	13,055,669	2,500,000	5,492,647	2,927,655	2,564,992	312,500	0	0	0	0	104,777,212
27	24	GH FINANCIALS LLC	FCM	CME	12/31/2014	11,425,308	1,989,711	9,435,597	37,631,603	35,486,617	2,144,986	1,000,000	302,629	1,290	301,339	75,000	0	0	0	0	0
28	25	GOLDMAN SACHS & CO	FCM BD SD	CBOT/NFA	12/31/2014	14,834,206,816	2,364,780,578	12,469,426,238	21,123,597,936	20,285,919,590	837,678,346	475,000,000	12,069,156,519	10,072,345,156	1,996,811,363	475,000,000	3,271,746,216	2,725,820,283	545,925,933	150,000,000	0
29	26	GOLDMAN SACHS EXECUTION & CLEARING LP	FCM BD	CME	12/31/2014	1,665,662,367	138,702,442	1,526,959,925	1,361,943,240	655,681,704	706,261,536	540,000,000	70,098,071	21,581,015	48,517,056	40,000,000	0	0	0	0	0
30	27	GUGGENHEIM SECURITIES LLC	FCM BD	NFA	12/31/2014	115,245,485	1,368,597	113,876,888	0	0	0	0	0	0	0	0	0	0	0	0	0
31	28	HSBC SECURITIES USA INC	FCM BD	CME	12/31/2014	1,050,335,516	234,037,816	816,297,700	1,118,973,178	1,012,598,523	106,374,655	50,000,000	191,655,123	141,465,808	50,189,315	32,579,870	883,056,392	774,824,793	108,231,599	30,000,000	0
32	29	IBFX INC	RFED SD	NFA	12/31/2014	27,263,922	21,971,658	5,292,264	0	0	0	0	0	0	0	0	0	0	0	47,289,964	0
33	30	INTERACTIVE BROKERS LLC	FCM BD	NFA	12/31/2014	2,333,887,260	278,964,141	2,054,923,119	2,523,514,748	2,255,243,935	268,270,813	155,000,000	504,079,151	394,413,097	109,666,054	80,000,000	0	0	0	40,191,690	0
34	31	IRONBEAM INC	FCM	NFA	12/31/2014	2,234,435	1,000,000	1,234,435	39,862,904	1,275,806	1,275,806	800,000	110,914	0	109,929	50,000	0	0	0	0	0
35	32	ITG DERIVATIVES LLC	FCM BD	NFA	12/31/2014	2,434,526	1,000,000	1,434,526	0	0	0	0	0	0	0	0	0	0	0	0	0
36	33	JEFFERIES LLC	FCM BD	CBOT	12/31/2014	968,202,258	109,187,420	859,014,838	1,908,109,719	1,795,276,675	112,833,044	90,000,000	192,527,500	121,020,336	71,507,164	50,000,000	31,014,533	5,722,224	25,292,309	5,000,000	0
37	34	JP MORGAN CLEARING CORP	FCM BD	NFA	12/31/2014	7,460,842,480	1,817,712,517	5,643,129,963	1,075,426,155	724,623,847	350,802,308	65,216,146	549,692,289	357,661,627	192,030,662	32,189,547	250,000	0	250,000	1	0
38	35	JP MORGAN SECURITIES LLC	FCM BD SD	CEI/NFA	12/31/2014	12,767,482,206	2,125,525,577	10,641,956,629	19,458,357,129	17,447,852,777	2,010,504,352	1,570,306,750	3,348,949,090	2,883,510,990	465,438,100	259,515,989	4,900,417,324	4,344,715,886	555,701,438	391,024,430	0
39	36	LEK SECURITIES CORPORATION	FCM BD	NFA	12/31/2014	9,811,073	1,500,000	8,311,073	761,137	409,606	351,531	200,000	0	0	0	0	0	0	0	0	0
40	37	MACQUARIE FUTURES USA LLC	FCM	CBOT	12/31/2014	172,036,581	131,877,656	40,158,925	1,633,526,330	1,485,118,134	148,408,196	90,000,000	18,999,710	4,304,864	14,694,846	301,340	21,271,345	2,963,396	18,307,949	207,438	0
41	38	MAREX NORTH AMERICA LLC	FCM	CME	12/31/2014	16,235,587	9,301,092	6,934,495	124,368,396	117,014,027	7,354,369	5,850,701	28,391,399	25,459,237	2,932,162	2,545,924	0	0	0	0	0
42	39	MB TRADING FUTURES INC	RFED	NFA	12/31/2014	24,687,846	20,529,187	4,158,659	0	0	0	0	0	0	0	0	0	0	0	20,583,738	0
43	40	MCVEAN TRADING & INVESTMENTS LLC	FCM	NFA	12/31/2014	13,707,003	3,029,786	10,677,217	952,832,973	932,296,760	20,536,213	18,000,000	2,417,861	0	2,417,861	400,000	0	0	0	0	0
44	41	MERRILL LYNCH PIERCE FENNER & SMITH	FCM BD	CBOT	12/31/2014	9,668,593,657	1,297,544,604	8,371,049,053	11,488,180,365	11,208,908,563	279,271,802	200,000,000	2,701,188,351	2,499,733,022	201,455,329	150,000,000	3,167,250,164	2,902,713,155	264,537,009	150,000,000	0
45	42	MERRILL LYNCH PROFESSIONAL CLEARING CORP	FCM BD	NFA	12/31/2014	3,426,548,150	507,918,235	2,918,629,915	1,494,241,158	919,817,001	574,424,157	300,000,000	5,673,495	1,968,360	3,705,135	1,000,000	0	0	0	0	0
46	43	MID CO COMMODITIES INC	FCM	NFA	12/31/2014	8,224,841	4,198,076	4,026,765	50,622,115	42,087,462	8,534,653	5,000,000	0	0	0	0	0	0	0	0	0
47	44	MINT BROKERS	FCM BD	NFA	12/31/2014	9,215,676	1,000,000	8,215,676	0	0	0	0	0	0	0	0	0	0	0	0	0
48	45	MITSUBISHI UFI SECURITIES USA INC	FCM BD	NFA	12/31/2014	409,694,228	1,058,884	408,635,344	0	0	0	0	0	0	0	0	0	0	0	0	0
49	46	MIZUHO SECURITIES USA INC	FCM BD SD	CME/NFA	12/31/2014	551,403,983	227,602,122	323,801,861	2,989,883,658	2,790,064,668	199,818,990	150,000,000	758,608,436	656,636,326	101,972,110	80,000,0					

